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PTO/SB/21 (08-03)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/662,711	
	Filing Date	September 15, 2003	
	First Named Inventor	Zhongmin Liu	
	Art Unit	1725	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	5 +	Attorney Docket Number	2000B043/3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Transmittal of IDS Statement, Form 1449
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Edward F. Sherer (Reg. No.: 29,588) ExxonMobil Chemical Company Law Technology
Signature	<i>Edward F. Sherer</i>
Date	March 10, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the	
Typed or printed name	Shannon M. Brom
Signature	<i>Shannon M. Brom</i>
Date	March 10, 2004

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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		<b>Attny. Docket No. 2000B043/3</b>	
<b>In Re Application of: LIU ET AL.</b>			
<b>U.S. Serial No. 10/662,711</b>	<b>Filing Date September 15, 2003</b>	<b>Examiner Awaited</b>	<b>Group Art Unit Awaited</b>

Address to:  
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P.O. Box 1450  
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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☐ Legible copies of the references are enclosed.

☒ This application is a:

☒ **Continuation / Divisional,**

☐ Continuation-in-Part,

of U.S.S.N. 10/208,324. Copies of the cited references are  
☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

March 9, 2004  
Date

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Substitute for form 1449/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

## Complete if Known

Application Number	10/662,711
Filing Date	September 15, 2003
First Named Inventor	Zhongmin Liu
Art Unit	Awaited
Examiner Name	Awaited
Attorney Docket Number	2000B043/3

Examiner Initials*	Cite No. <sup>1</sup>	U. S. PATENT DOCUMENTS			
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,451,572	05-29-84	Cody	
		US- 4,692,424	09-08-87	Le Van Mao	
		US- 4,814,306	03-21-89	Von Ballmoos et al.	
		US- 4,884,531	12-05-89	Degnan, Jr. et al.	
		US- 4,912,073	03-27-90	Chu	
		US- 5,100,596	03-31-92	Haag et al.	
		US- 5,155,075	10-13-92	Innes et al.	
		US- 5,158,665	10-27-92	Miller	
		US- 5,276,236	01-04-94	Patton et al.	
		US- 5,403,800	04-04-95	Beck et al.	
		US- 5,516,957	05-14-96	Dandekar et al.	
		US- 5,541,146	07-30-96	Chang et al.	
		US- 5,545,784 X	08-13-96	Weitkamp et al.	
		US- 5,552,357	09-03-96	Lago et al.	
		US- 5,602,066	02-11-97	Beck et al.	
		US- 5,817,595	10-06-98	Tejada et al.	
		US- 5,849,968	12-15-98	Beck et al.	
		US- 5,851,378	12-22-98	Vogt et al.	
		US- 5,869,417	02-09-99	Woo et al.	

Examiner Initials*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENTS			
		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
		WO 91/13132	09-05-91	PCT	

Examiner Signature	Date Considered
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(Use as many sheets as necessary)

Sheet	2	of	2
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Application Number	10/662,711
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[illegible]

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